

# Dispersive analysis of the form factors in semi-leptonic decays

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# Outline

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- ✎ Form factors

## 2. Overview of traditional parametrizations

- ✎ z-expansion
- ✎ BGL parametrization
- ✎ CLN parametrization
- ✎ Dispersive representation

## 3. Scalar form factors in heavy-to-light transitions

- ✎ Muskhelishvili-Omnès formalism
- ✎ Inputs & solutions
- ✎ Predictions of form factors and CKM matrix elements

## 4. A new parametrization based on dispersive representation

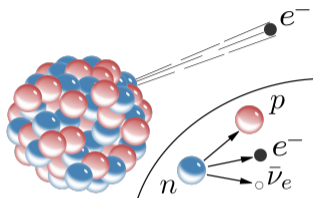
- ✎ Expansion in phase moments
- ✎ Application to the study of  $\bar{B} \rightarrow D\ell\bar{\nu}$
- ✎ Results

## 5. Summary and Outlook

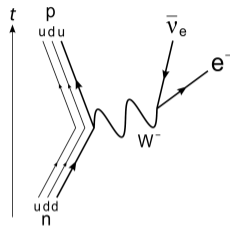
# Introduction

# Exclusive semi-leptonic decay

- Open the era of weak-interaction physics



nuclear(nucleon)  $\beta$  decay



Intermediated  $W$  boson

- Still significant for modern physics

- ☞ Accessible both by experiments and lattice QCD
- ☞ A sharp probe of new physics beyond Standard Model
  - Cabibbo-Kobayashi-Maskawa (CKM) matrix elements → testing **unitarity**
  - $\mathcal{R}(D^{(*)}) = \frac{\mathcal{B} \rightarrow D^{(*)} \tau \nu}{\mathcal{B} \rightarrow D^{(*)} \mu \nu} \rightarrow$  testing **lepton universality**

High-precision measurements  $\longleftrightarrow$  Accurate theoretical inputs

# Exclusive semi-leptonic decay: $H \rightarrow H' l \bar{\nu}_l$

## □ The $H \rightarrow H' l \bar{\nu}_l$ decay

↳ Invariant amplitude:

$$\mathcal{M} = \frac{G_F V_{q'Q}}{\sqrt{2}} \underbrace{\left\{ \bar{u}(p_\ell) \gamma_\mu (1 - \gamma_5) v(p_{\bar{\nu}}) \right\}}_{\mathcal{L}_\mu} \underbrace{\left\{ \langle H'(p') | \bar{q}' \gamma^\mu (1 - \gamma_5) Q | H(p) \rangle \right\}}_{\mathcal{H}^\mu}$$

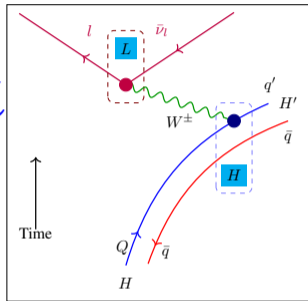
↳ Hadronic part:

$$\mathcal{H}^\mu = f_+(q^2) \left[ (p + p')^\mu - \frac{m_H^2 - m_{H'}^2}{q^2} q^\mu \right] + f_0(q^2) \frac{m_H^2 - m_{H'}^2}{q^2} q^\mu$$

• Vector FF  $f_+(q^2)$  :  $J^P = 1^-$

• Scalar FF  $f_0(q^2)$  :  $J^P = 0^+$

$$f_+(0) = f_0(0)$$



## □ Form factors (FF)

↳ Necessity

1. Hadrons are non-point-like objects
2. Structure and internal dynamics parametrized in form factors
3. Understanding hadron structure helps understanding QCD

↳ Unknown

1. Non-perturbative objects
2. Nobody knows exact functional form

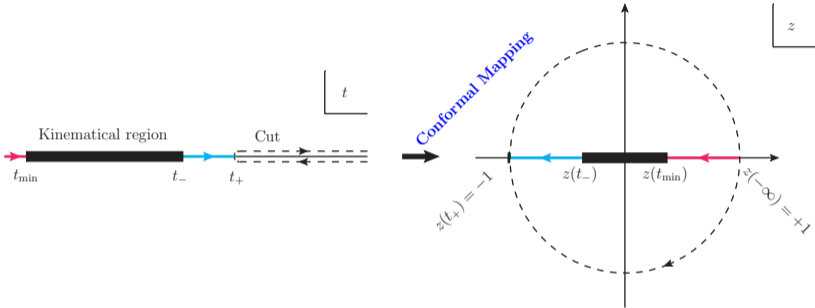
↳ Known

1. Analytic properties
2. Unitarity, etc

# Brief overview of traditional parametrizations

# z-expansion

Conformal mapping: map the domain of analyticity onto the **unit circle**



$$z(t, t_0) = \frac{\sqrt{t_+ - t} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - t} + \sqrt{t_+ - t_0}},$$

$$t_0 = t_+ - \sqrt{t_+ - t_-} \sqrt{t_+ - t_{\min}}$$

Expand form factors in a Taylor series

$$f(t) = \sum_{n=0}^{\infty} a_n^f(t_0) z^n(t, t_0)$$

# Boyd-Grinstein-Lebed (BGL) parametrization

## □ BGL approach

[ Boyd, Grinstein and Lebed, PRL74(1995) ]

$$f(t) = \frac{1}{P(z)\phi(z)} \sum_{n=0}^{\infty} a_n^f(t, t_0) z^n(t, t_0)$$

z expansion

- ☞ Blaschke factors:  $P(z)$  → remove subthreshold poles
- ☞ Outer functions:  $\phi(z)$  → unitarity constraints

→ Unitarity bounds on the parameters  $a_n^f$ :  $\sum_{n=0}^{\infty} (a_n^f)^2 < 1$ .

Issue:

Truncation of BGL expansion → Unphysical singularity at threshold ( $t_+$ ) !

## □ BCL approach

$$f(t) = \frac{1}{P(z)\phi(z)} \sum_{n=0}^N a_n^f(t, t_0) \left\{ z^n(t, t_0) - (-1)^{n-N-1} \frac{k}{N+1} z^{N+1}(t, t_0) \right\}$$



# Caprini-Lellouch-Neubert (CLN) parametrization I

□ Symmetries → form factors of  $B^{(*)} \rightarrow D^{(*)}$  decays share a unique function, the Isgur-Wise function  $\xi(\omega)$ , in heavy quark limit

Chiral Symmetry:  $m_u, m_d$  and  $m_s \ll \Lambda_{\text{QCD}}$ .

Light pseudoscalar mesons are the Goldstone bosons SSB  
 $SU(3)_L \otimes SU(3)_R \rightarrow SU(3)_V$

Heavy quark symmetry (HQS):  $H_{\text{QCD}} (m_Q \rightarrow \infty)$

Flavour does not matter (in  $Q\bar{q}$  systems)

$m_H \sim m_Q$ ,  $Q$  static source  $\Rightarrow$  dynamics independent of  $\vec{S}_Q$

Helps organizing the hadron spectrum

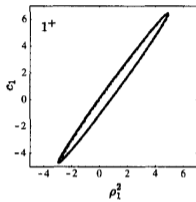
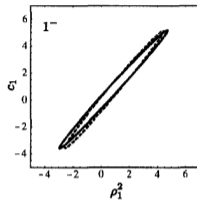
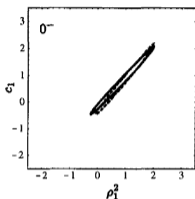
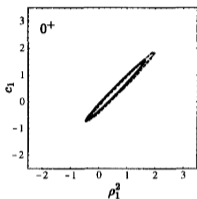
$J = j_l + \frac{1}{2}$ 

$j_l = \frac{1}{2}$	Exp. mass	$j_l = \frac{1}{2}$	Exp. mass
$(D^+(0^-))$	(1869.59)	$(B^-(0^-))$	(5279.32)
$(D^{*+}(1^-))$	(2010.26)	$(B^{*-}(1^-))$	(5324.65)
$(D_s^+(0^-))$	(1968.28)	$(\bar{B}_s^0(0^-))$	(5366.89)
$(D_s^{*+}(1^-))$	(2112.10)	$(\bar{B}_s^{*0}(1^-))$	(5415.4)
$(D_{s0}^*(0^+))$	(2317.70)	$(\bar{B}_{s0}^{*0}(0^+))$	(?)
$(D_{s1}^*(1^+))$	(2459.50)	$(\bar{B}_{s1}^{*0}(1^+))$	(?)

# Caprini-Lellouch-Neubert (CLN) parametrization II

- Unitarity constraints on the coefficients of  $V_1(\omega)$

[ Caprini, Lellouch and Neubert, NPB530 (1998) ]



- $V_1(\omega)$  is the reference form factor (accuracy better than 2%)

$$V_1(w) = V_0(w_0) \left[ 1 - \rho_1^2 (w - w_0) + c_1 (w - w_0)^2 + d_1 (w - w_0)^3 + \dots \right], \quad w = \frac{m_B^2 + m_D^2 - t}{2m_B m_D}$$

- $S_1(w)$  is obtained by the ratio (A, B, C by HQET)

$$\frac{S_1(w)}{V_1(w)} = A \left[ 1 + B(w - w_0) + C(w - w_0)^2 + \dots \right],$$

NOTE:  $\{V_1, S_1\} \longleftrightarrow \{f_+, f_0\}$

# Dispersion representation

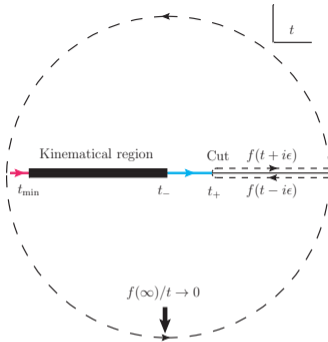
□ Once subtraction

👉 Analyticity:

$$f(t) = f(t_0) + \frac{t - t_0}{\pi} \int_{t_+}^{\infty} \frac{dt'}{t' - t_0} \frac{\text{Im}f(t')}{t' - t}$$

👉 Unitarity:

$$\text{Im} f(t) = T^*(t)\rho(t) f(t)$$



□ Solution

👉 Single channel → analytic Omnès solution

$$f(t) = f(t_0) \exp \left[ \frac{t - t_0}{\pi} \int_{t_+}^{\infty} \frac{dt'}{t' - t_0} \frac{\psi(t')}{t' - t - i\epsilon} \right]$$

a new parametrization for  $\bar{B} \rightarrow D$

👉 Coupled channel → Muskhelishvili-Omnès equation

→ need to be solved numerically.

heavy-to-light transitions

[ DLY, Fernandez, Guo, Nieves, PRD(2020) ]

[ DLY, Albaladejo, Fernandez, Guo, Nieves, EPJC(2018) ]

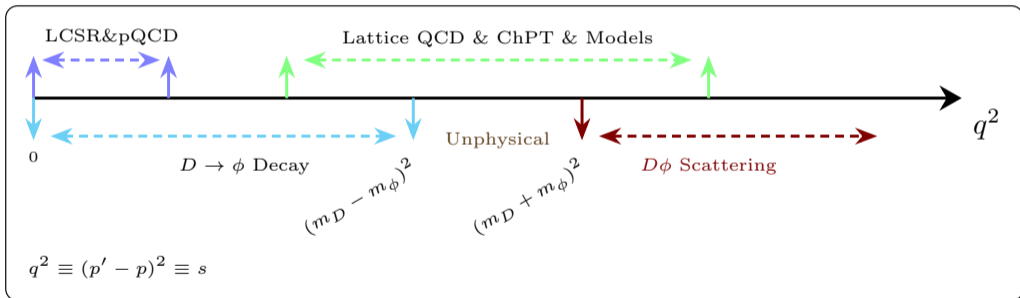
# Heavy-to-light scalar form factors

# Introduction to $D_{\ell 3}$ decay

## □ Motivation

- 👉 Knowledge of  $H\phi$  (mainly  $D\phi$ ) interaction accumulated in recent years
- 👉 Timely to study the scalar  $H \rightarrow \phi$  form factor by means of MO representation

## □ Schematic draw of kinematics



✓ Study  $f_0(q^2)$  in the whole decay region using **Dispersive techniques**

✓ Incorporate information in scattering region using **Watson Theorem**

} MO formalism

# Muskhelishvili-Omnès (MO) formalism

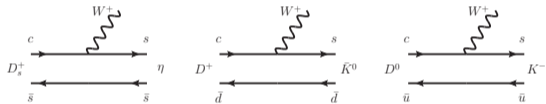
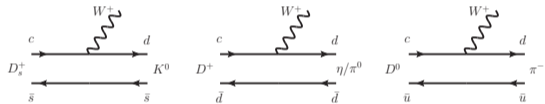
Scalar form factors in coupled channels [ N.I. Muskhelishvili, *Singular integrals equations*, (P. Nordhof 1953) ] [ R. Omnes, *Nuovo Cim.* 8, 613 (1958) ]

✓  $c \rightarrow d$  induced ( $\Delta s = 0, \Delta I = \frac{1}{2}$ )

$$\begin{pmatrix} \sqrt{\frac{3}{2}} f_0^{D^0 \rightarrow \pi^-}(s) \\ f_0^{D^+ \rightarrow \eta}(s) \\ f_0^{D_s^+ \rightarrow K^0}(s) \end{pmatrix} = \Omega^{(0, \frac{1}{2})}(s) \cdot \vec{\mathcal{P}}^{(0, \frac{1}{2})}(s)$$

✓  $c \rightarrow s$  induced ( $\Delta s = 1, \Delta I = 0$ )

$$\begin{pmatrix} -\sqrt{2} f_0^{D^0 \rightarrow K^-}(s) \\ f_0^{D_s^+ \rightarrow \eta}(s) \end{pmatrix} = \Omega^{(1, 0)}(s) \cdot \vec{\mathcal{P}}^{(1, 0)}(s)$$



## MO representation

$$\vec{\mathcal{F}}(s) = \Omega(s) \cdot \vec{\mathcal{P}}(s), \quad \text{Im} \vec{\mathcal{F}}(s) = T^*(s) \Sigma(s) \vec{\mathcal{F}}(s)$$

$$\Omega(s) = \frac{1}{\pi} \int_{s_{\text{th}}}^{\infty} \frac{T^*(s') \Sigma(s') \Omega(s')}{s' - s - i\epsilon} ds', \quad \rightarrow \mathbf{T} : \underline{\mathbf{D}}\phi \text{ interaction}$$

$$\vec{\mathcal{P}}(s) = \vec{\alpha}_0 + \vec{\alpha}_1 s, \quad (\text{rank one})$$

$\vec{\mathcal{P}}(s)$ : Subtractions constrained by chiral matching

### Tree-Level ChPT

$$\mathcal{L}_0 = f_D(m D_\mu^* - \partial_\mu D) u^\dagger J^\mu,$$

$$\mathcal{L}_1 = \beta_1 Du(\partial_\mu U^\dagger) J^\mu + \beta_2(\partial_\mu \partial_\nu D) u(\partial^\nu U^\dagger) J^\mu$$

$$\mathcal{L}_{DD^*\phi} = i\tilde{g}(D_\mu^* u^\mu D^\dagger - Du^\mu D_\mu^* \dagger).$$

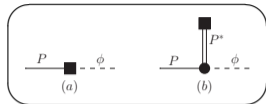
Only 2 relevant unknown LECs

# Form factors in heavy meson ChPT

## Chiral expansion of the form factors

$$f_+^{[P\phi]^{(S,I)}}(s) = \frac{C_{[P\phi]}^{(S,I)}}{\sqrt{2}F_0} \left[ \frac{f_P}{\sqrt{2}} + \sqrt{2} \frac{\tilde{g} m f_P}{m_R^2 - s} + \beta_1^P - \frac{\beta_2^P}{2} (\Sigma_{P\phi} - s) \right],$$

$$f_0^{[P\phi]^{(S,I)}}(s) = \frac{C_{[P\phi]}^{(S,I)}}{\sqrt{2}F_0} \left[ \left( \sqrt{2} \frac{\tilde{g} m f_P}{m_R^2} + \beta_1^P \right) \frac{\Delta_{P\phi} - s}{\Delta_{P\phi}} + \left( \sqrt{2} f_P - \beta_2^P (\Sigma_{P\phi} - s) \right) \frac{\Delta_{P\phi} + s}{2 \Delta_{P\phi}} \right].$$



### Abbreviations:

$$\Delta_{P\phi} = m_P^2 - m_\phi^2, \quad \Sigma_{P\phi} = m_P^2 + m_\phi^2$$

### Scaling properties:

$$\beta_1^P \sim \sqrt{m_P}, \quad \beta_2^P \sim 1/\sqrt{m_P^3}$$

### Coefficients

$(S, I)$ channel	$(1, 0)$		$(0, \frac{1}{2})$		
	$DK$	$D_s \eta$	$D\pi$	$D\eta$	$D_s \bar{K}$
$\mathcal{C}$	$-\sqrt{2}$	$-\sqrt{\frac{2}{3}}$	$\sqrt{\frac{3}{2}}$	$\frac{1}{\sqrt{6}}$	1

## Matching ( $s_0$ : matching point)

$$\Omega(s_0) \cdot \vec{P}(s_0) = \vec{F}_\chi(s_0) \implies \begin{cases} \vec{\alpha}_0 = \Omega^{-1}(s_0) \cdot \vec{F}_\chi(s_0) - \vec{\alpha}_1 s_0, \\ \vec{\alpha}_1 = \Omega^{-1}(s_0) \cdot [\vec{F}'_\chi(s_0) - \Omega'(s_0) \cdot \Omega^{-1}(s_0) \cdot \vec{F}_\chi(s_0)]. \end{cases}$$

# $D\phi$ interaction in UChPT

## Chiral potentials from covariant ChPT & Unitarization

NLO: [ Kolomeitsev & Lutz, PLB582(2004)39 ] [ Guo et al, PLB641(2006)278 ] [ Liu et al, PRD87(2013)014508 ] [ Altenbuchinger et al, PRD89(2014)014026 ]...

NNLO: [ Geng et al, PRD82, 054022 (2010) ] [ Du, Guo, Meissner & Yao, JHEP11(2015)058 & EPJC77(2017)728 ]

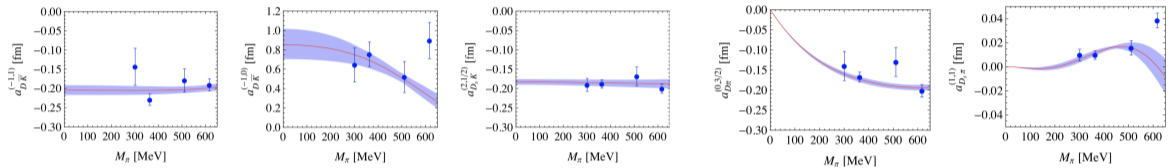


Fig. from [ Liu et al, PRD87(2013)014508 ]

## Applications of NLO potentials by Liu et al

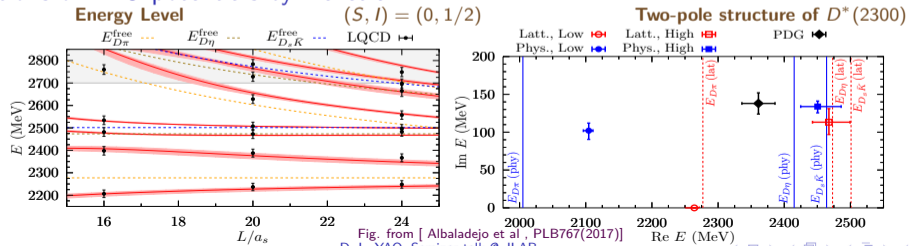


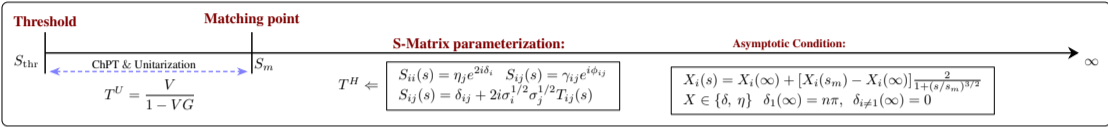
Fig. from [ Albaladejo et al., PLB767(2017) ]

- D.-L. YAO, Seminar talk @ JLAB -



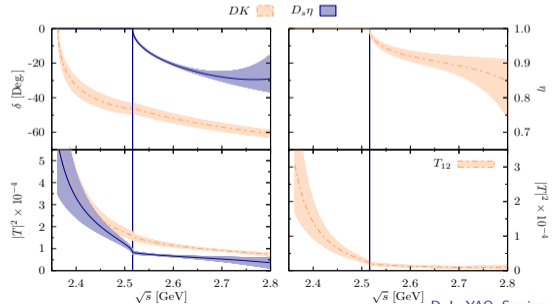
# MO problem: I. Inputs

## T-matrix



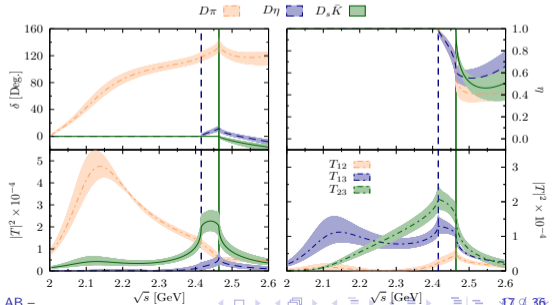
### $(S, I) = (1, 0)$

$s_m = (2.7 \text{ GeV})^2$



### $(S, I) = (0, \frac{1}{2})$

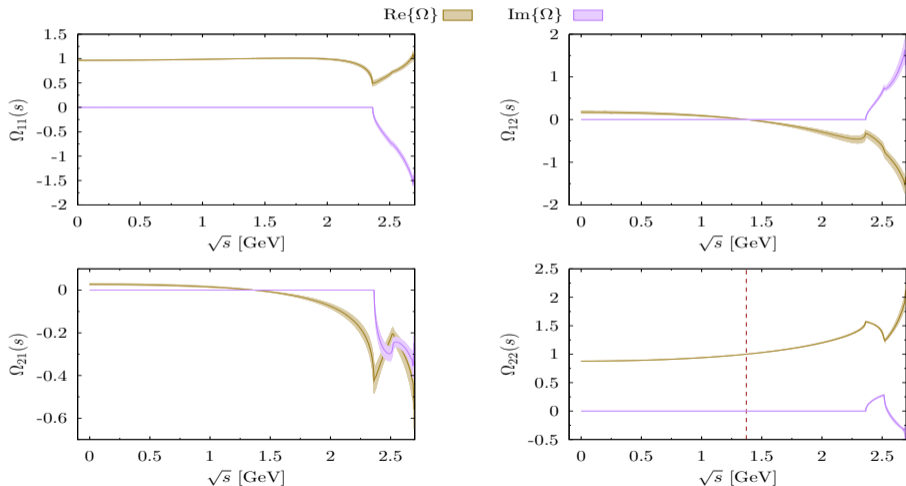
$s_m = (2.6 \text{ GeV})^2$



# MO problem: II. Solutions

□ MO-matrix for channel  $(S, I) = (1, 0)$

✓ Normalised at  $s = 0$

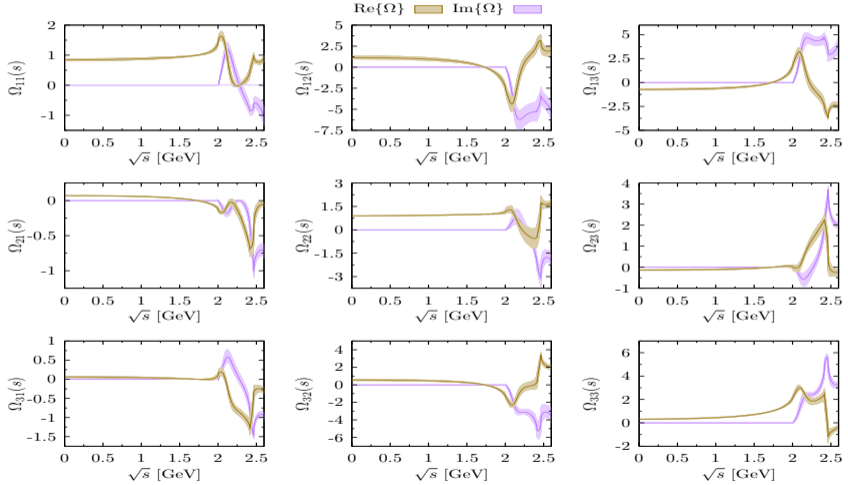


Error propagated from the uncertainties of LECs in chiral potential

# MO problem: II. Solutions

□ MO-matrix for channel  $(S, I) = (0, \frac{1}{2})$

✓ Normalised at  $s = 0$

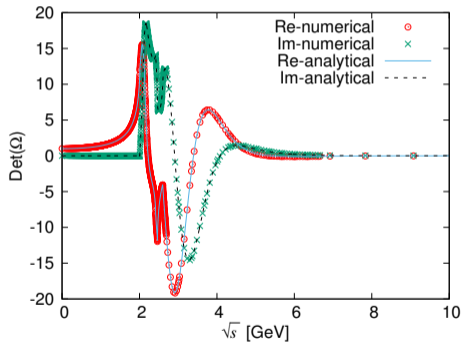
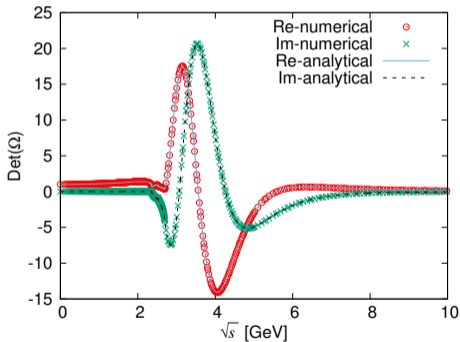


Error propagated from the uncertainties of LECs in chiral potential

# MO problem: III. Checking

□ Determinant of the MO matrix also satisfies an Omnès-type dispersion relation

$$\det \Omega(s) = \exp \left[ \frac{s}{\pi} \int_{s_{\text{th}}}^{\infty} \frac{\psi(s')}{s'(s' - s - i\epsilon)} ds' \right], \quad \exp(2i\psi(s)) \equiv \det[1 + 2i\mathbf{T}(s)\Sigma(s)]$$



Analytical v.s. Numerical

# Fit to lattice QCD data

- Latest data (8 + 8) with hypercubic effects by ETM [PRD96(2017)054514]

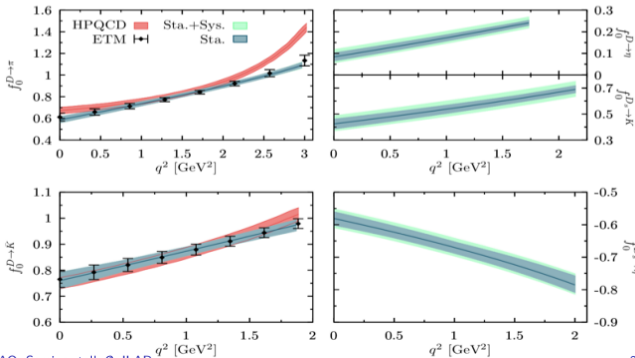
$$\begin{pmatrix} \sqrt{\frac{3}{2}} f_0^{D^0 \rightarrow \pi^-}(s) \\ f_0^{D^+ \rightarrow \eta}(s) \\ f_0^{D_s^+ \rightarrow K^0}(s) \end{pmatrix} = \Omega^{(0, \frac{1}{2})}(s) \cdot \vec{\mathcal{P}}^{(0, \frac{1}{2})}(s) \quad \begin{pmatrix} -\sqrt{2} f_0^{D^0 \rightarrow K^-}(s) \\ f_0^{D_s^+ \rightarrow \eta}(s) \end{pmatrix} = \Omega^{(1,0)}(s) \cdot \vec{\mathcal{P}}^{(1,0)}(s)$$

- Contribution of  $D_{s0}^*(2317)$  below threshold

$$\vec{\mathcal{P}}^{(1,0)}(s) \rightarrow \frac{\beta_0 \vec{\Gamma}}{s-s_p} + \vec{\mathcal{P}}^{(1,0)}(s)$$

## Results

	Value	correlation matrix			
$\chi^2$	1.82	$\beta_1$	$\beta_2$	$\beta_0$	$\delta_\chi$
$\beta_1$	0.08(2)	1	0.96	-0.03	0.68
$\beta_2$	0.07(1)		1	0.09	0.84
$\beta_0$	0.12(1)			1	0.11
$\delta_\chi$	-0.21(2)				1



Hypercubic effects should be taken into account in lattice simulation!

HPQCD:  
[ Na, et al, PRD82(2010)114506 ] [ Na, et al, PRD84(2011)114505 ]

# Extended to bottom sector: combined fit

## Heavy Quark Flavor Symmetry (HQFS)

✓ Formalism applies to bottom sector straightforwardly

✓ However, LECs need to be adjusted

$b \rightarrow u$  induced

$$\begin{pmatrix} \sqrt{\frac{3}{2}} f_0^{\bar{B}^0 \rightarrow \pi^+}(s) \\ f_0^{B^- \rightarrow \eta}(s) \\ f_0^{\bar{B}_s^0 \rightarrow K^+}(s) \end{pmatrix} = \Omega_{\bar{B}}^{(0, \frac{1}{2})}(s) \cdot \vec{\mathcal{P}}_{\bar{B}}^{(0, \frac{1}{2})}(s)$$

## Scaling

$[D\phi]$ to $[\bar{B}\phi]$ Interactions	$[D \rightarrow \phi]$ to $[\bar{B} \rightarrow \phi]$ Decays
$h_{0,1,2,3} \sim m_Q, h_{4,5} \sim \frac{1}{m_Q}$	$\beta_1 \sim m_Q^{\frac{1}{2}}, \beta_2 \sim m_Q^{-\frac{3}{2}}$
$h_{0,1,2,3}^B \sim \frac{\bar{m}_B}{\bar{m}_D} h_{0,1,2,3}^D, h_{4,5}^B \sim \frac{\bar{m}_D}{\bar{m}_B} h_{4,5}^D$	$\beta_1^D = \sqrt{\frac{\bar{m}_D}{\bar{m}_B}} \beta_1^B (1 + \delta), \beta_2^D = \sqrt{\frac{\bar{m}_B^3}{\bar{m}_D^3}} \beta_2^B (1 - 3\delta)$

## Combined fit: lattice data from both $D$ and $B$ sectors & LCSR results

$$\chi^2 = (\chi^2)^{\bar{B} \rightarrow \pi} + (\chi^2)^{\bar{B}_s \rightarrow K} + (\chi^2)_{\text{LCSR}}^{\bar{B} \rightarrow \pi} + (\chi^2)_{\text{LCSR}}^{\bar{B}_s \rightarrow K} + (\chi_{\text{cov}}^2)^{D \rightarrow \pi} + (\chi_{\text{cov}}^2)^{D \rightarrow \bar{K}}$$

**UKQCD&FL-MILC&HPQCD**

**LCSR**

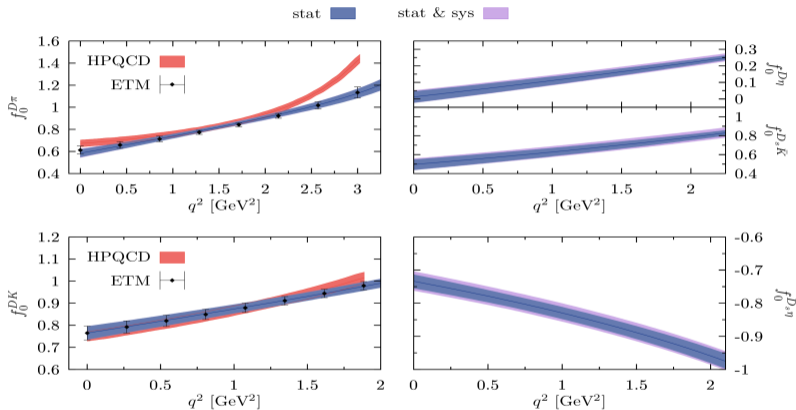
**ETM**

[ Flynn et al, PRD91, Barley et al, PRD92 ] [ Bharucha, JHEP1205(2012)092 ] [ Lubicz et al, PRD96(2017)054514 ]

[ Bouchard et al, PRD90(2014)054506 ] [ Duplancic et al, JHEP0804(2008) ]

# Extended to bottom sector: combined fit

## Charm Sector



Here almost the same results are obtained as the fit only to data of charm sector

$$f_+^{D \rightarrow \pi}(0) |V_{cd}| = 0.1426(19)$$

$$f_+^{D \rightarrow \bar{K}}(0) |V_{cs}| = 0.7226(34)$$

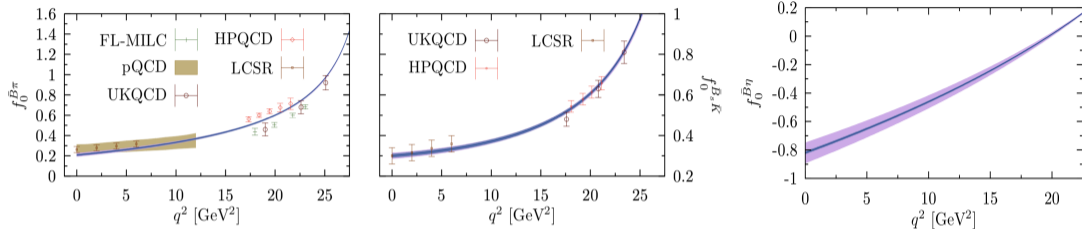
CKM  
→

$$|V_{cd}| = 0.244(22)$$

$$|V_{cs}| = 0.945(41)$$

# Extended to bottom sector: combined fit

## Bottom Sector



## Results

$\frac{\chi^2}{\text{dof}} \simeq 2.77$	$f_+^{\bar{B} \rightarrow \pi}(0)  V_{ub}  = (8.9 \pm 0.3) \times 10^{-4}$  <b>CKM</b> ↓  $10^3  V_{ub}  = 4.3(7)$	
$\beta_0$		0.152(14)(13)
$\beta_1^B$		0.22(4)(4)
$\beta_2^B$		0.0346(16)(15)
$\delta$		0.138(21)(18)
$\delta'$		-0.18(4)(2)

- 👉 Lattice QCD data in both sectors are well described simultaneously.
- 👉 Scalar FFs are predicted in the whole kinematical region.

FL+MILC: [ Bailey et al, PRD92(2015)014024 ] pQCD: [ Li et al, PRD85(2012)074004 ] [ Wang et al, PRD86(2012)114025 ]

HPQCD: [ Dalgic et al, PRD73(2006)074502 ] [ Bouchard et al, PRD90(2014)054506 ]



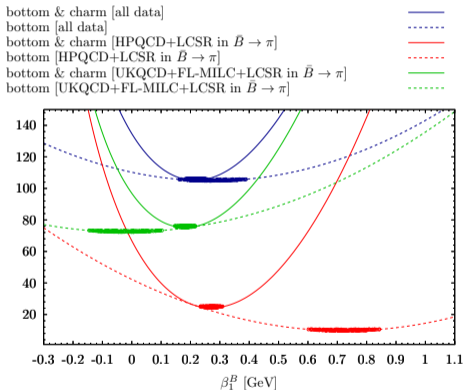
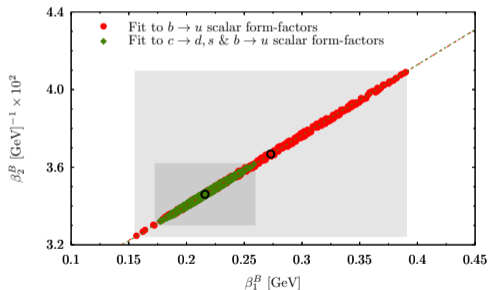
# Extended to bottom sector: combined fit

## □ Assessment of the fit

☞ Left: Correlation between  $\beta_1$  and  $\beta_2$

$$\frac{\beta_1^B - \bar{\beta}_1^B}{\sigma_{\beta_1^B}} = \frac{\beta_2^B - \bar{\beta}_2^B}{\sigma_{\beta_2^B}}$$

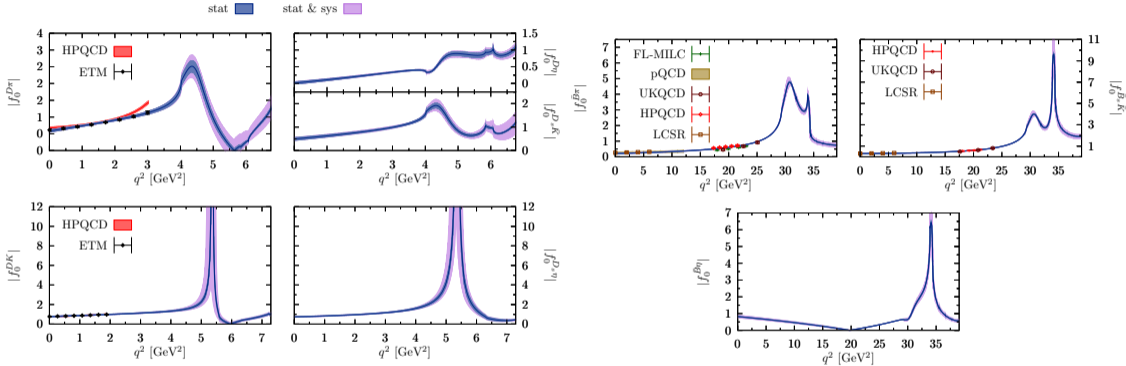
☞ Right: Dependence of  $\chi^2$  on  $\beta_1$



The combined fit provides large curvatures of  $\chi^2$ , leading to better determination of  $\beta_1$

# Extended to bottom sector: combined fit

Scalar form factors above the  $q_{\text{max}}^2$ -region



- Some signatures of the two-pole structure of  $D_0^*(2300)$ : 2.1 & 2.45 GeV
- The sensitivity of the form factors to the details of the two resonances is not dramatic.

# A new parametrization for $\bar{B} \rightarrow D$ transition

# A new parametrization for $\bar{B} \rightarrow D$ transitions

## □ Tensions

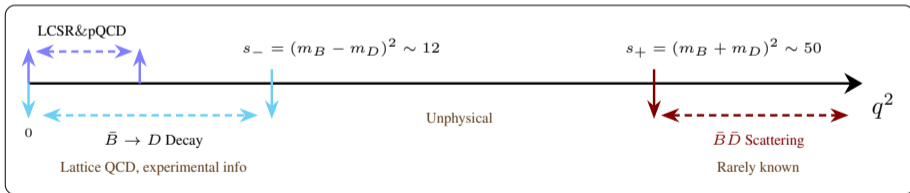
☞ inclusive & exclusive

1. HFLAV average  $|V_{cb}|_{\text{in}} = (42.19 \pm 0.78) \times 10^{-3}$ . [ Amhis et al, EPJ77(2017) ]
2. Belle determination  $|V_{cb}|_{\text{ex,CLN}} = (39.86 \pm 1.33) \times 10^{-3}$ . [ Glattauer et al, PRD93(2016) ]

☞ theoretical v.s. experimental

1. FL-MILC:  $\mathcal{R}_D = 0.299(11)$ ; HPQCD:  $\mathcal{R}_D = 0.300(8)$ . [ Bailey et al, PRD92(2015); Na et al, PRD92(2015) ]
2. BaBar:  $\mathcal{R}_D = 0.440(58)(42)$ ; Belle:  $\mathcal{R}_D = 0.375(64)(26)$ . [ Lees et al, PRL109(2012); Hushcle et al, PRD92(2015) ]

## □ Kinematics for $\bar{B} \rightarrow D l \bar{\nu}_l$ decay

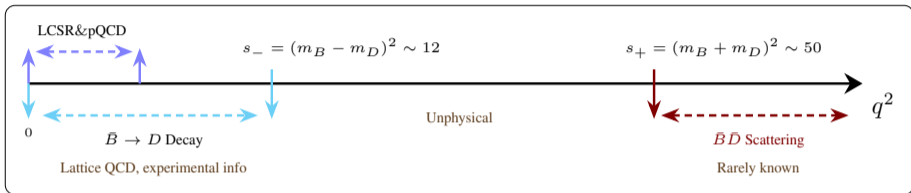


- ✓ Study FF in the whole decay region using **Dispersive techniques**
- ✓ Transfer information from decay region to faraway scattering region

} towards a new parametrization

# A new parametrization for $\bar{B} \rightarrow D$ transitions

□  $\bar{B} \rightarrow D$  form factors



🔍 Dispersive representation

$$f(s) = f(s_0) \exp \left[ \frac{s-s_0}{\pi} \int_{s_+}^{\infty} \frac{ds'}{s'-s_0} \frac{\alpha(s')}{s'-s-i\epsilon} \right]$$

$\alpha(s')$ : phase of form factor near threshold  
= phase shift (elastic region)

🔍 A new parametrization ( $s' \geq s_+ \gg s_- \geq s_0$ )

$$f(s) = f(s_0) \prod_{n=0}^{\infty} \exp \left[ \frac{s-s_0}{s_+} \mathcal{A}_n(s_0) \frac{s^n}{s_+^n} \right]$$

$$\mathcal{A}_n(s_0) \equiv \frac{1}{\pi} \int_{s_+}^{\infty} \frac{ds'}{s'-s_0} \frac{\alpha(s')}{(s'/s_0)^{n+1}}$$

The coefficients  $\mathcal{A}_n$  are called **Phase Moments**.

**physically meaningful** → **important information on  $\bar{B}\bar{D}$  interactions**

# A new parametrization for $\bar{B} \rightarrow D$ transitions

□ Description of lattice QCD and experimental data [Phys.Rev.D 101 (2020), 034014]

🔍 The new parametrization

$$f(s) = f(s_0) \prod_{n=0}^{\infty} \exp \left[ \frac{s - s_0}{s_+} \mathcal{A}_n(s_0) \frac{s^n}{s_+^n} \right]$$

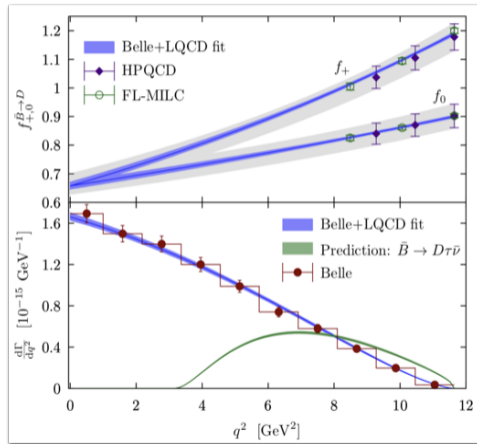
$$\mathcal{A}_n(s_0) \equiv \frac{1}{\pi} \int_{s_+}^{\infty} \frac{ds'}{s' - s_0} \frac{\alpha(s')}{(s'/s_0)^{n+1}}$$

🔍 Fit results

$\frac{\chi^2}{\text{dof}} = \frac{6.47}{22-4} \simeq 0.36$	
$f_0(0)$	0.658(17)
$\mathcal{A}_0^0$	1.38(12)
$\mathcal{A}_0^+$	2.60(12)
$ V_{cb}  \times 10^3$	41.01(75)

$$\mathcal{R}_D = \frac{\mathcal{BR}(\bar{B} \rightarrow D\tau\bar{\nu}_\tau)}{\mathcal{BR}(\bar{B} \rightarrow D\ell\bar{\nu}_\ell)} = 0.301(5)$$

- $n = 0$  is sufficient
- Independent of subtraction point  $s_0$ :  $s_0 = 0$



# Results: the $|V_{cb}|$ CKM matrix element

## Comparison to other determinations

$ V_{cb}  \times 10^3$	Method	Decay mode	Source
<b><math>41.01 \pm 0.75</math></b>	<b>Phase moments</b>	$\bar{B} \rightarrow D\ell\bar{\nu}_\ell$	<b>This work</b>
$39.18 \pm 1.01$	CLN	$\bar{B} \rightarrow D\bar{\ell}\nu_\ell$	HFLAV 2017
$39.05 \pm 0.75$	CLN	$\bar{B} \rightarrow D^*\bar{\ell}\nu_\ell$	
$42.19 \pm 0.78$	Kinetic scheme	Inclusive	
$41.98 \pm 0.45$	1S scheme	Inclusive	
$39.86 \pm 1.33$	CLN	$B \rightarrow \bar{D}\bar{\ell}\nu_\ell$	Belle [ Glattauer:2015teq ]
$40.83 \pm 1.13$	BGL		
$38.4 \pm 0.87$	CLN	$B^0 \rightarrow D^{*-}\ell^+\bar{\nu}_\ell$	Belle [ Abdesselam:2018nnh ]
$42.5 \pm 0.97$	BGL		
$40.49 \pm 0.97$	BGL	$\bar{B} \rightarrow D\ell\bar{\nu}_\ell$	[ Bigi and Gambino, PRD94(2016) ]
$38.2 \pm 1.4$	CLN	$\bar{B} \rightarrow D^*\bar{\ell}\nu_\ell$	[ Bigi, Gambino and Schacht, PLB769(2017) ]
$40.4^{+1.6}_{-1.7}$	BGL		
$41.9^{+2.0}_{-1.9}$	BGL	$\bar{B} \rightarrow D^*\bar{\ell}\nu_\ell$	[ Grinstein and Kobach, PLB771(2017) ]

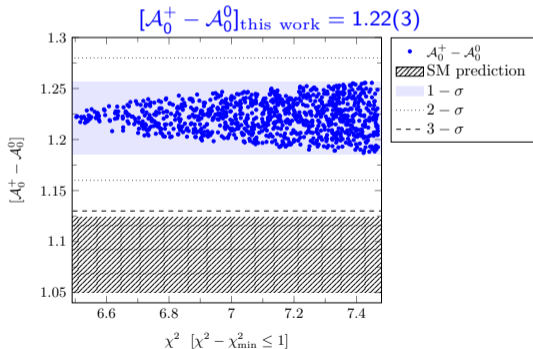
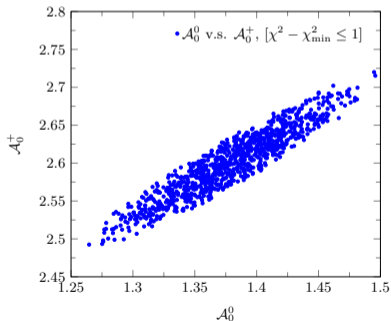
Tension between the exclusive and inclusive determinations was due to the use of the CLN parametrization

# Results: the phase moments

- HQET determination ( $r = m_D/m_B$ ,  $\omega_0$  expanding point). [1.13 ~ 1.28@ NLO]

$$[\mathcal{A}_0^+ - \mathcal{A}_0^0]_{\text{HQET}} = -\frac{(r+1)^2}{r^2 - 2\omega_0 r + 1} \ln \frac{2A(1+\omega_0)r}{(r+1)^2} \simeq 1.05 \sim 1.12$$

- Our determination



The coefficient  $\mathcal{A}$  should be updated by HQEF



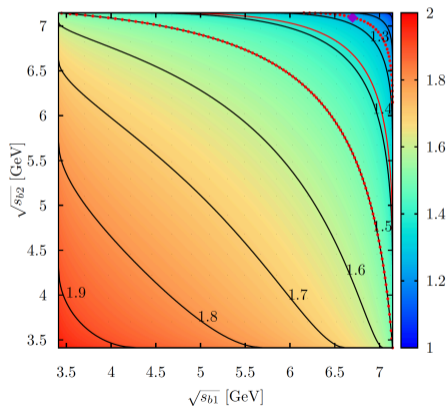
# Results: the $\bar{B}\bar{D}$ spectroscopy

□ Connecting  $A_0^0$  to  $J^P = 0^+$  states below  $\bar{B}\bar{D}$  threshold

$$A_0^0 \equiv \frac{1}{\pi} \int_{t_+}^{\infty} \frac{dt'}{t' - t_0} \frac{\delta(t')}{(t'/t_+)} , \quad \delta(t') = \sum_{\sqrt{s_b} \in \mathcal{B}} \left\{ \pi - \arctan \left[ \frac{\rho(t')t'}{t' - t_-} \sqrt{\frac{s_b - t_-}{t_+ - s_b}} \right] \right\}$$

Supporting the existence of two bound states?

$A_0^0$	$\mathcal{B}$ : bound states
$1.38 \pm 0.12$	
$0.5 \sim 1.0$	$\{s_b \in [t_-, t_+]\}$
$0.56 \sim 0.54$	$\{\sqrt{s_b} \in [7111, 7133]\}$ [1]
$0.68 \pm 0.01$	$\{\sqrt{s_b} = 6712(18)(7)\}$ [2]
$1.0 \sim 2.0$	$\{\sqrt{s_{b1}}, \sqrt{s_{b2}} \in [t_-, t_+]\}$
$1.26$	$\{\sqrt{s_{b1}} = 6699, \sqrt{s_{b2}} = 7094\}$ [3]



[1] Dynamical generated

[ Sakai et al, PRD96(2017) ]

[2] Lattice QCD

[ Mathur et al, PRL121(2018) ]

[3] Quark Model

[ Mathur Ebert et al, EPJC71(2011) ]

# Summary and Outlook

## 1. Dispersive analysis of form factors

- Based on axiomatic principles: **Unitarity & Analyticity**
- Elegant bridge** connecting heavy-to-light (heavy) decay with heavy-light (heavy) scattering
- Include **coupled-channel effects**

## 2. Heavy-to-light: successfully describe results of LQCD and LCSR in $D$ & $B$ sectors

- Communicate information between  $D$  and  $B$  sectors by imposing HQFS
- Constrain parameters by using chiral symmetry of light quarks
- Obtain scalar FFs in the fitted channels in the whole kinematical region
- Predict scalar FFs in the other channels related by chiral symmetry
- Extract all the heavy-to-light CKM elements

## 3. Heavy-to-heavy: a new parametrization for $\bar{B} \rightarrow D$ semi-leptonic decay

- More efficient than traditional parametrizations like BGL(BCL), CLN approaches:
  - less free parameters & physically meaningful
  - more precise results:

$$|V_{cb}| = (41.01 \pm 0.75) \times 10^{-3} \quad \& \quad \mathcal{R}_D = \frac{\mathcal{BR}(\bar{B} \rightarrow D\tau\bar{\nu}_\tau)}{\mathcal{BR}(\bar{B} \rightarrow D\ell\bar{\nu}_\ell)} = 0.301(5)$$

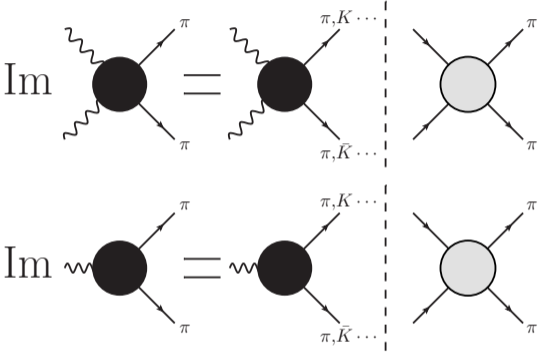
- Universal for any other semi-leptonic processes induced by  $b \rightarrow c$  transition:
  - $\bar{B} \rightarrow D^*\ell\bar{\nu}$  decay (ongoing)
  - $\bar{\Lambda}_b \rightarrow \Lambda_c^{(*)}\ell\bar{\nu}$  decay

Thanks so much for your attention!

# Backup

# Final state interaction

□ "Production" ampl.: weak (spectator); Scattering ampl.: strongly interacting



☞ "Production"

$$\text{Im}F(s) = T^*(s)\rho(s)F(s)$$

☞ Scattering

$$\text{Im}T(s) = T^*(s)\rho(s)T(s)$$

□ Watson's final state interaction theorem:  $\phi_F = \phi_T$  (modulo  $\pi$ )

☞ Au-Morgan-Pennington method:  $F(s) = \alpha(s)T(s)$

☞ (Muskhelishvili-)Omnès formalism:  $F(s) = P(s)\Omega(s)$

$$\text{Im}\Omega(s) = T^*(s)\rho(s)\Omega(s) \implies \Omega(s) = \exp \left[ \frac{s}{\pi} \int_{s_{th}}^{\infty} ds' \frac{\delta(s')}{s'(s'-s)} \right].$$

# Dispersion relation

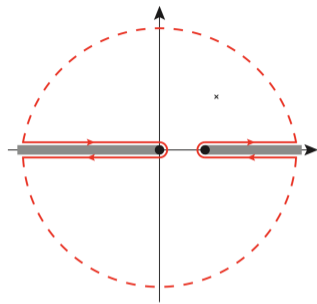
## Cauchy's theorem

$$f(s) = \frac{1}{2\pi i} \int_{\partial\Omega} \frac{f(s')}{s' - s} ds'$$

## Dispersion relation

$$f(s) = \frac{1}{\pi} \left[ \int_{s_R}^{\infty} + \int_{-\infty}^{s_L} \right] \frac{\text{Im}f(s')}{s' - s - i\epsilon} ds'$$

☞  $f(s) \rightarrow 0$  when  $|s| \rightarrow \infty$



## Dispersion relation with subtractions

$$f(s) = P_{n-1}(s - z_0) + \frac{(s - s_0)^n}{\pi} \int_{\text{cuts}} ds' \frac{\text{Im}f(s')}{(s' - s_0)^n (s' - s - i\epsilon)}$$

☞  $f(s)/s^n \rightarrow 0$  when  $|s| \rightarrow \infty$ ;

☞  $\text{Im}f(s_0) = 0$ .

# Parametrization of $S$ matrix

## □ Two channels

$$T(s) = \begin{pmatrix} \frac{\eta(s)e^{2i\delta_1} - 1}{2i\sigma_1(s)} & \frac{\sqrt{1-\eta^2}e^{i\phi_{12}}}{2\sqrt{\sigma_1(s)\sigma_2(s)}} \\ \frac{\sqrt{1-\eta^2}e^{i\phi_{12}}}{2\sqrt{\sigma_1(s)\sigma_2(s)}} & \frac{\eta(s)e^{2i\delta_2} - 1}{2i\sigma_2(s)} \end{pmatrix}, \quad \phi_{12} = \delta_1 + \delta_2 + \text{mod}(\pi), \quad 0 \leq \eta \leq 1$$

## □ Three channels

$$S(s) = \begin{pmatrix} \eta_1 e^{2i\delta_1} & \gamma_{12} e^{i\phi_{12}} & \gamma_{13} e^{i\phi_{13}} \\ \gamma_{12} e^{i\phi_{12}} & \eta_2 e^{2i\delta_2} & \gamma_{23} e^{i\phi_{23}} \\ \gamma_{13} e^{i\phi_{13}} & \gamma_{23} e^{i\phi_{23}} & \eta_3 e^{2i\delta_3} \end{pmatrix}, \quad \begin{cases} \gamma_{ij}^2 = \frac{1}{2} (1 + \eta_k^2 - \eta_i^2 - \eta_j^2), & i \neq j \neq k \neq i, \\ \phi_{ij} = \delta_i + \delta_j + \alpha_{ij} + \text{mod}(\pi), & i, j, k = 1, 2, 3, \\ \sin \alpha_{ij} = \sqrt{\frac{1}{4\eta_i\eta_j} \left[ \frac{\gamma_{ik}^2 \gamma_{jk}^2}{\gamma_{ij}^2} - (\eta_i - \eta_j)^2 \right]} \equiv X_{ij} \end{cases}$$

- 👉 Solutions for  $\alpha_{ij}$  can be either  $\arcsin(X_{ij})$  or  $\pi - \arcsin(X_{ij})$ .
- 👉 Boundary conditions on inelasticities

$$0 \leq \eta_i \leq 1, \quad |1 - \eta_j - \eta_k| \leq \eta_i \leq 1 - |\eta_j - \eta_k|, \quad i \neq j \neq k.$$

## □ Asymptotic conditions on phase shifts and inelasticities:

$$\delta_i(s) = \delta_i(\infty) + [\delta_i(s_m) - \delta_i(\infty)] \frac{2}{1 + (s/s_m)^{3/2}}, \quad \eta(s) = \eta(\infty) + [\eta(s_m) - \eta(\infty)] \frac{2}{1 + (s/s_m)^{3/2}}$$



# Determinant of the MO matrix

## □ Unitarity relation

$$\begin{aligned} & \text{Im } \Omega(s + i\epsilon) = T^*(s + i\epsilon)\Sigma(s)\Omega(s + i\epsilon) \\ \Rightarrow & \frac{\Omega(s + i\epsilon) - \Omega(s - i\epsilon)}{2i} = T^*(s + i\epsilon)\Sigma(s)\Omega(s + i\epsilon) \\ \Rightarrow & \Omega(s + i\epsilon) = H(s + i\epsilon)\Omega(s - i\epsilon), \quad H(s) = \mathbb{1} + 2iT(s + i\epsilon)\Sigma(s), \quad H(s)H^*(s) = \mathbb{1}. \end{aligned}$$

🔊 Phase space factors:

$$\Sigma = \text{diag}\{\sigma_{ab}(s)\}, \quad \sigma_{ab} = \frac{\lambda^{1/2}(s, m_a^2, m_b^2)}{s} \Theta[s - (m_a + m_b)^2]$$

🔊 The determinant satisfies a single-channel Omnès-type relation

$$\det[\Omega(s + i\epsilon)] = e^{2i\phi(s)} \det[\Omega(s - i\epsilon)], \quad \exp 2i\phi(s) = \det[H(s)]$$

🔊 Omnès matrix has unique solution, ensured by the asymptotic conditions [ [Moussallam, EPJC14, 2000](#) ]

$$\lim_{s \rightarrow \infty} |T_{ij}(s)| = 0 \quad \text{for } i \neq j, \quad \lim_{s \rightarrow \infty} \sum_i^n (\delta_i(s)) = n\pi \implies \lim_{s \rightarrow \infty} \det[\Omega(s)] \rightarrow 1/s^n$$

# Fitting parameters in combined fit

□ Summary of the fitting parameters:  $\{\beta_0, \beta_1^B, \beta_2^B, \delta, \delta'\}$

$$\Omega^{(1,0)} \cdot \vec{\mathcal{P}}^{(1,0)}(s) \rightarrow \Omega^{(1,0)} \cdot \left\{ \frac{\beta_0 \vec{\Gamma}}{s - s_p} + \vec{\mathcal{P}}^{(1,0)}(s) \right\}, \quad \vec{\Gamma} = (g_{DK}, g_{D_s\eta})^T$$

$$\frac{\beta_1^D}{\beta_1^B} = \sqrt{\frac{\bar{m}_D}{\bar{m}_B}}(1 + \delta), \quad \frac{\beta_2^D}{\beta_2^B} = \sqrt{\frac{\bar{m}_B^3}{\bar{m}_D^3}}(1 - 3\delta), \quad f_p \rightarrow f_p \times (1 + \delta')$$

Table: Properties of the  $D_{s0}^*(2317)$  pole from the unitarized chiral amplitudes.

$\sqrt{s_p}$ [MeV]	$g_{DK}$ [GeV]	$g_{D_s\eta}$ [GeV]
$2315^{+18}_{-28}$	$9.5^{+1.2}_{-1.1}$	$7.5^{+0.5}_{-0.5}$

Table: Results from the bottom-charm combined fit

	$\frac{\chi^2}{\text{dof}} = 2.77$	correlation matrix				
		$\beta_0$	$\beta_1^B$	$\beta_2^B$	$\delta$	$\delta'$
$\beta_0$	0.152(14)(13)	1.000	0.502	0.499	-0.490	0.311
$\beta_1^B$	0.22(4)(4)	0.502	1.000	0.995	-0.965	0.848
$\beta_2^B$	0.0346(16)(15)	0.499	0.995	1.000	-0.958	0.845
$\delta$	0.138(21)(18)	-0.490	-0.965	-0.958	1.000	-0.942
$\delta'$	-0.18(4)(2)	0.311	0.848	0.845	-0.942	1.000

# Bottom form factors and quadratic MO polynomials

□ The scalar  $\bar{B} \rightarrow \pi, \eta$  and  $\bar{B}_s \rightarrow K$  form factors

☞ Rank-two MO polynomials

$$\vec{\mathcal{P}}(s) = \vec{\alpha}_0 + \vec{\alpha}_1 s + \vec{\alpha}_2 s^2 .$$

☞ Best fit results

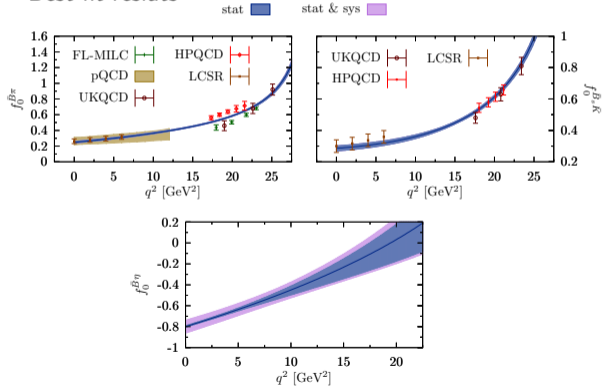


Table: parameters

$\chi^2/\text{dof}$	3.7
$\beta_1^B$	0.74(22)
$(\beta_2 \times 10)$	0.53(8)
$(\alpha_{2,1} \times 10^3)$	0.24(6)
$(\alpha_{2,2} \times 10^3)$	-0.1(7)
$(\alpha_{2,3} \times 10^3)$	1.0(8)

# Differential decay rate

□ For the semi-leptonic decay of  $\bar{B}(p) \rightarrow D(p')\ell(q_1)\bar{\nu}_\ell(q_2)$ :

$$\frac{d\Gamma}{dq^2} = \frac{8\mathcal{N}|\vec{p}^*|}{3} \left[ \left(1 + \frac{m_\ell^2}{2q^2}\right) |H_0|^2 + \frac{3m_\ell^2}{2q^2} |H_t|^2 \right]$$

$$\mathcal{N} = \frac{G_F^2}{256\pi^3} \eta_{\text{EW}}^2 |V_{cb}|^2 \frac{q^2}{m_B^2} \left(1 - \frac{m_\ell^2}{q^2}\right)^2$$

- ☞ Momenta:  $q \equiv p - p'$  and  $|\vec{p}^*|$  the modulus of the three-momentum of the  $D$  meson in rest frame of  $\bar{B}$
- ☞ Fermi coupling constant  $G_F = 1.166 \times 10^{-5} \text{ GeV}^{-2}$
- ☞ The factor  $\eta_{\text{EW}} = 1.0066$  accounts for the leading order electroweak corrections

□ Helicity amplitudes

$$H_0 = \frac{2m_B |\vec{p}^*|}{\sqrt{q^2}} f_+(q^2), \quad H_t = \frac{m_B^2 - m_D^2}{\sqrt{q^2}} f_0(q^2).$$

# PKU representation

[Xiao, Zheng, Zhou, et al, NPA (2001), NPA(2004), JHEP(2005)]

□ A virtual/bound state pole at  $s_0$  (real),  $s_L < s_0 < s_R$ :

☞ Scattering length:

$$a(s_0) = \pm \frac{2\sqrt{s_R}}{s_R - s_L} \sqrt{\frac{s_0 - s_L}{s_R - s_0}}.$$

☞ S matrix and phase shift:

$$S(s) = \frac{1 \pm i\rho(s)a(s_0)}{1 \mp i\rho(s)a(s_0)}, \quad \delta(s) = \mp \arctan \left[ \frac{s\rho(s)}{s - s_L} \sqrt{\frac{s_0 - s_L}{s_R - s_0}} \right]$$

□ A resonance located at  $z_0$  and  $z_0^*$ .

☞ The S matrix and phase shift

$$S(s) = \frac{M^2(z_0) - s + i\rho(s)sG[z_0]}{M^2(z_0) - s - i\rho(s)sG[z_0]}, \quad \delta(s) = \arctan \left[ \frac{s\rho(s)G}{M^2 - s} \right]$$

$$M^2(z_0) = \text{Re}[z_0] + \frac{\text{Im}[z_0]\text{Im}[z_0\rho(z_0)]}{\text{Re}[z_0\rho(z_0)]}, \quad G[z_0] = \frac{\text{Im}[z_0]}{\text{Re}[z_0\rho(z_0)]}.$$